



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/427,938	10/27/99	HENDRICKS	J 5269

JOHN K. HARROP  
DORSEY & WHITNEY, L.L.P.  
1001 PENNSYLVANIA AVENUE N.W.  
SUITE 300 SOUTH  
WASHINGTON, DC 20004

0232/0218



2711

DATE MAILED:

02/18/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20:

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
- ☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(b)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.52(d), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*E. J. [Signature]*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

04/27/2000 CVORACHA 00000002 09427938

01 FC:105

130.00 DP

ent date: 04/27/2000 CVORACHA 00000003 09427938  
000 CVORACHA 00000043 09427938  
05

04/25/2000 CVORACHA 00000013 09427938  
11/25/00

130.00 DP

183

Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)

Sector

Docket No.  
5269

In Re. Application Of: HENDRICKS, ET AL.

Serial No. 09427936  
09/427,939

Filing Date  
October 27, 1999

Examiner  
TO BE ASSIGNED

Group Art Unit  
2711

Invention: VIRTUAL ON-DEMAND ELECTRONIC BOOK



04-18-2000

U.S. Patent & TMO/TM Mail Rpt Dt. #28

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
February 18, 2000  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). (REQUIRED)
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

(1) ASSIGNMENT

(2) CORRECTION OF FILING RECEIPT

RECEIVED  
MAR 25 2000  
DIPE/JCWS

US PATENT & TRADEMARK OFFICE

2000 APR 18 P 2:15

RECEIVED  
TRADEMARK FEE PROCESS.

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
**5269**

In Re Application Of: **HENDRICKS, ET AL.**

Serial No.  
**09/427,939**

Filing Date  
**October 27, 1999**

Examiner  
**TO BE ASSIGNED**

Group Art Unit  
**2711**

Invention: **VIRTUAL ON-DEMAND ELECTRONIC BOOK**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

☐ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims = \_\_\_\_\_

☐ Total number of claims = \_\_\_\_\_

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the invention

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees \_\_\_\_\_

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees **\$0.00**

Total fees due **\$130.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
**5269**

In Re Application Of: **HENDRICKS, ET AL.**

Serial No.  
**09/427,939**

Filing Date  
**October 27, 1999**

Examiner  
**TO BE ASSIGNED**

Group Art Unit  
**2711**

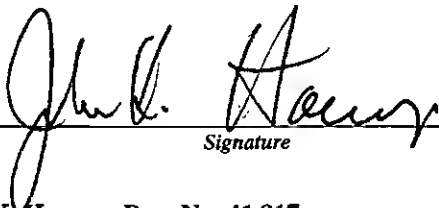
Invention: **VIRTUAL ON-DEMAND ELECTRONIC BOOK**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

The fee of **\$130** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **04-1425**  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **04-1425**  
A duplicate copy of this sheet is enclosed.

  
Signature

Dated: **18 APRIL 2000**

**John K. Harrop, Reg. No. 41,817  
DORSEY & WHITNEY LLP  
Suite 300 South  
1001 Pennsylvania Avenue, N.W.  
Washington, D.C. 20004**

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

*Typed or Printed Name of Person Mailing Correspondence*

CC:

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s) : John S. HENDRICKS et al. Examiner: Not Assigned  
Serial No. : 09/289,958 Group Art Unit: 2711  
Filed : April 13, 1999 Docket No.: 5262  
For : VIRTUAL ON-DEMAND ELECTRONIC BOOK

Box PATENT APPLICATION  
Assistant Commissioner of Patents  
Washington, D.C. 20231



CORRECTION OF FILING RECEIPT

Sir:

Submitted herewith is a copy of the filing receipt for the above-identified application, with the request that a corrected official filing receipt be issued reflecting the related application information as follows:

CONTINUING DATA AS CLAIMED BY APPLICANT -

THIS APPLN IS A CIP OF	08/336,247	11/07/94	PAT 5,986,690
AND A CIP OF	08/160,194	12/02/93	PAT 5,990,927
AND A CIP OF	08/906,469	08/05/95	
WHICH IS A CONTINUATION OF	08/160,281	12/02/93	PAT 5,798,785
AND A CONTINUATION OF	09/237,828	01/27/99	
AND A CONTINUATION OF	09/237,827	01/27/99	
AND A CONTINUATION OF	09/289,958	04/13/99	
AND A CONTINUATION OF	09/289,957	04/13/99	
AND A CONTINUATION OF	09/289,956	04/13/99	

Respectfully submitted,

Dated:

John K. Harrop, Reg. No. 41,817  
Dorsey & Whitney LLP  
1001 Pennsylvania Avenue  
Suite 300 South Tower  
Washington, D.C. 20004  
(202) 824-8800

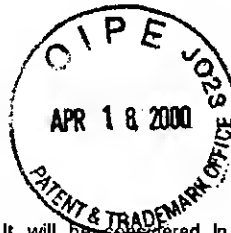
FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TDT CL	IND CL
09/427,938	10/27/99	2711	\$2,134.00	5269	53	79	7

JOHN K HARROP  
DORSEY & WHITNEY L L P  
1001 PENNSYLVANIA AVENUE N W  
SUITE 300 SOUTH  
WASHINGTON DC 20004



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) JOHN S. HENDRICKS, POTOMAC, MD; JOHN S. MCCOSKEY,  
CASTLE ROCK, CO.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CIP OF 08/336,247 11/07/94 PAT 5,986,690  
~~And WHICH IS A CIP OF 08/160,194 12/02/93 PAT 5,990,927~~  
~~And WHICH IS A CIP OF 08/906,469 08/05/97~~  
*which is a continuation* ~~AND A CIP OF 08/160,281 12/02/93 PAT 5,798,785~~  
~~AND A CIP OF 09/237,828 01/27/99~~  
~~AND A CIP OF 09/237,827 01/27/99~~  
~~AND A CIP OF 09/289,958 04/13/99~~  
~~AND A CIP OF 09/289,957 04/13/99~~  
~~AND A CIP OF 09/289,956 04/13/99~~

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 02/17/00  
TITLE  
VIRTUAL ON-DEMAND ELECTRONIC BOOK

PRELIMINARY CLASS: 348

*Handwritten:* KR 2/23/00

DATA ENTRY BY: BURNS, ERIC

TEAM: 07 DATE: 02/17/00

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(See reverse for additional information)